

# A Note on $p$ -Basis of a Regular Local Ring of Characteristic $p$

Atsushi Araki

標数  $p$  の正則局所環の  $p$  基底についての一注意

荒木 淳

Let  $(R, \mathfrak{m})$  be a noetherian regular local ring with a quasi-coefficient field  $k$  of characteristic  $p$  and  $S$  be a subring of  $R$  containing  $R^p$  such that  $R$  is finite over  $S$ . The purpose of this paper is to prove that if  $D_k(R) = D_S(R)$ , then  $R$  have a  $p$ -basis over  $S$ .

## 1. Preliminaries.

In this paper, all rings are assumed to be commutative noetherian and to contain an identity element. Let  $p$  be always a prime number. Let  $R$  be a ring of characteristic  $p$  and  $R^p$  denote the subring  $\{x^p \mid x \in R\}$ . Let  $S$  be a subring of  $R$ . A subset  $B$  of  $R$  is said to be  $p$ -independent over  $S$  if the monomials  $b_1^{e_1} \cdots b_n^{e_n}$ , where  $b_1, \dots, b_n$  are distinct elements of  $B$  and  $0 \leq e_i \leq p-1$ , are linearly independent over  $R^p[S]$ .  $B$  is called a  $p$ -basis of  $R$  over  $S$  if it is  $p$ -independent over  $S$  and  $R^p[S, B] = R$ . Let  $R$  be a ring and let  $\mathfrak{m}$  be an ideal of  $R$ . A ring  $R$  is called an  $\mathfrak{m}$ -adic ring if  $R$  is topologized by taking  $\mathfrak{m}^n (n=1, 2, \dots)$  as a fundamental system of neighborhoods of zero.

Let  $R$  be an  $\mathfrak{m}$ -adic ring. An  $R$ -module  $E$  is an  $\mathfrak{m}$ -adic  $R$ -module if  $E$  is endowed with the topology in which  $\mathfrak{m}^n E (n=1, 2, \dots)$  form a fundamental system of neighborhoods. An  $R$ -module  $E$  is said to be separated if  $\bigcap_{n=1}^{\infty} \mathfrak{m}^n E = 0$ .

## 2. Lemmas.

We shall begin with a definition and then list the needed lemmas about  $\mathfrak{m}$ -adic differential module. The proofs of those lemmas are done by the standard arguments and we shall omit them.

Let  $R$  be a  $P$ -algebra and let  $\mathfrak{m}$  be an ideal of  $R$ . We shall assume that  $R$  is an  $\mathfrak{m}$ -adic ring. We define the  $\mathfrak{m}$ -adic  $P$ -differential module of  $R$ , denoted by  $\hat{D}_P(R)$ , as the  $R$ -module satisfying the following conditions.

- (1) There exists a  $P$ -derivation  $\hat{d}_{R/P}$  from  $R$  into  $\hat{D}_P(R)$ .
- (2)  $\hat{D}_P(R)$  is generated over  $R$  by  $\hat{d}_{R/P} x$ ,  $x \in R$ .
- (3)  $\hat{D}_P(R)$  is a separated  $\mathfrak{m}$ -adic  $R$ -module.
- (4) For any  $P$ -derivation  $D$  of  $R$  into a separated  $\mathfrak{m}$ -adic  $R$ -module  $E$ , there exists an  $R$ -linear map  $h$  from  $\hat{D}_P(R)$  into  $E$  such that

$$Dx = h(\hat{d}_{R/P} x) \quad \text{for all } x \in R.$$

**Lemma 1** ([1] Proposition 1). *Let  $R$  be a  $P$ -algebra and let  $\mathfrak{m}$  be an ideal of  $R$  and assume that  $R$  is an  $\mathfrak{m}$ -adic ring. Let  $D_P(R)$  be  $P$ -differential module of  $R$ . Then the  $\mathfrak{m}$ -adic  $P$ -differential module  $\hat{D}_P(R)$  exists and is determined uniquely up to  $R$ -isomorphism. Moreover  $\hat{D}_P(R)$  is given by*

$$\hat{D}_P(R) = D_P(R) / \bigcap_{n=1}^{\infty} \mathfrak{m}^n D_P(R).$$

**Lemma 2** ([1] Corollary 2). *If  $D_P(R)$  is a separated  $\mathfrak{m}$ -adic  $R$ -module, we have*

$$\hat{D}_P(R) = D_P(R)$$

Let  $R$  be an  $\mathfrak{m}$ -adic ring and let  $T$  be an  $\mathfrak{n}$ -adic  $R$ -algebra with a ring homomorphism  $f : R \rightarrow T$ , such that  $f(1)=1$ . We shall assume that  $f$  satisfies the condition

$$(1) \quad f(\mathfrak{m}) \subset \mathfrak{n}.$$

**Lemma 3** ([1] Theorem 3). *Let  $R$  be an  $\mathfrak{m}$ -adic ring and let  $R^*$  be the  $\mathfrak{m}$ -adic completion of  $R$ . Let  $T$  be an  $R$ -algebra satisfying the condition (1).*

*Assume that  $(T, \mathfrak{n})$  is a Zariski ring and  $\hat{D}_R(T)$  is a finite  $T$ -module and let  $T^*$  be the  $\mathfrak{n}$ -adic completion of  $T$ . Then we have*

$$\hat{D}_{R^*}(T^*) = \hat{D}_R(T^*) = T^* \otimes_T \hat{D}_R(T).$$

**Lemma 4** ([3] §38 Proposition). *Let  $R$  be a local ring of characteristic  $p$  and  $S$  be a subring of  $R$  containing  $R^p$  such that  $R$  is finite over  $S$ . If  $D_S(R)$  is a free  $R$ -module with  $dx_1, \dots, dx_r$  ( $x_i \in R$ ) as a basis, then  $x_1, \dots, x_r$  form a  $p$ -basis of  $R$  over  $S$ .*

**Lemma 5** ([1] Proposition 10). *Let  $R$  be a formal power series ring in  $n$ -variables  $X_1, \dots, X_n$  over a ring  $S$  and let  $\mathfrak{m}$  be the ideal of  $R$  generated by  $(X_1, \dots, X_n)$ . Then the  $\mathfrak{m}$ -adic  $S$ -differential module  $\hat{D}_S(R)$  is free module of rank  $n$ .*

### 3. Results.

**Proposition 6.** *Let  $(R, \mathfrak{m})$  be a regular local ring with a quasi-coefficient field  $k$  and  $S$  be a subring of  $R$ . Assume that we have  $D_k(R) = D_S(R)$ . If  $D_S(R)$  is a finite  $R$ -module, then  $D_S(R)$  is a free  $R$ -module.*

*Proof.* Let  $R^*$  denote the  $\mathfrak{m}$ -adic completion of  $R$ . Since  $R$  is a regular local ring,  $R^*$  is regular. Let us put  $\dim R = r$ . Since  $k$  also is a quasi-coefficient field of  $R^*$  and  $(R^*)^* = R^*$ ,  $R^*$  contains a coefficient field  $K$  containing  $k$ . Therefore,  $R^*$  is expressed as a formal power series ring  $K[[X_1, \dots, X_r]]$ , where  $K = R^*/\mathfrak{m}^* = R/\mathfrak{m}$ . Since  $D_S(R)$  is finite,  $D_S(R)$  is separated and we have  $\hat{D}_S(R) = D_S(R)$  by Lemma 2. Then, by Lemma 3, we have

$$(2) \quad \hat{D}_S(R^*) \cong R^* \otimes_R \hat{D}_S(R) \cong R^* \otimes_R \hat{D}_S(R)$$

From our assumption  $D_k(R) = D_S(R)$  and (2), we have  $\hat{D}_S(R^*) \cong \hat{D}_k(R^*)$ . Since  $K$  is formally etale over  $k$ , we have  $D_k(K) = 0$ . Therefore, we see that  $D_k(R^*)$  and  $D_K(R^*)$  are isomorphic by Theorem 57 of [3] and we have  $\hat{D}_k(R^*) \cong \hat{D}_K(R^*)$ . So, by Lemma 5,  $\hat{D}_S(R^*) \cong \hat{D}_K(R^*) = \hat{D}_K(K[[X_1, \dots, X_r]])$  is a free module of rank  $r$  and since  $R$  is faithfully flat,  $D_S(R) = D_k(R)$  is free. Thus the proof is complete,

**Theorem 7.** *Let  $(R, \mathfrak{m})$  be a local ring with coefficient field  $k$  of characteristic  $p$  and  $S$  be a subring of  $R$  containing  $R^p$  such that  $R$  is finite over  $S$ . Assume that we have  $D_k(R) = D_S(R)$ . If  $R$  is regular, then  $R$  has a  $p$ -basis over  $S$ .*

*Proof.* Since  $R$  is finite over  $S$ ,  $D_S(R)$  is a finite  $R$ -module. Therefore, our theorem is proved by Proposition 6 and Lemma 4.

### References

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